

National Grid Supplier Code of Conduct Overview



01

Our Company

Bringing Energy to life in
Massachusetts and New York

nationalgrid



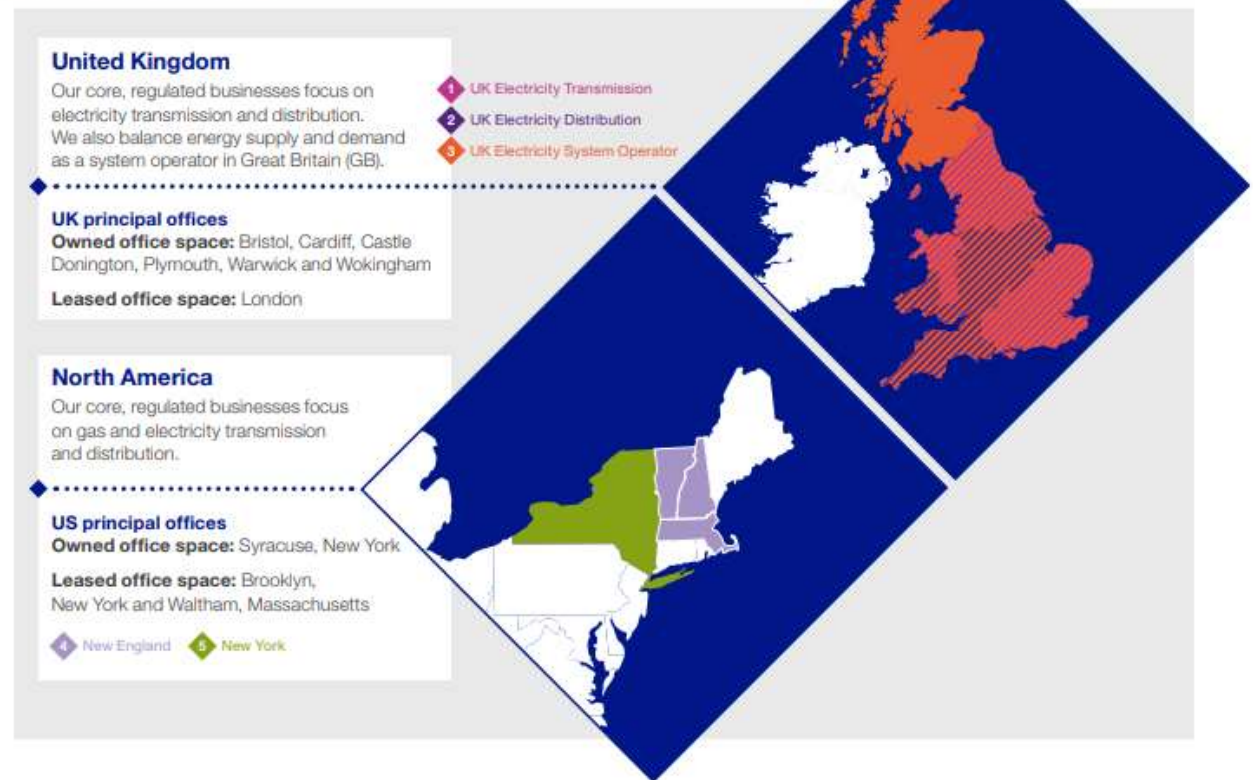
National Grid – Our People

At National Grid, we are committed to delivering safe and reliable energy to the customers and communities we serve.

We are one of the largest investor-owned energy companies in the US — serving more than 20 million people throughout New York and Massachusetts.

We are at the heart of one of the greatest challenges facing our society — transforming our electricity and natural gas networks with smarter, cleaner, and more resilient energy solutions to meet the goal of reducing greenhouse gas emissions.

Where we operate



Every day we work with stakeholders to promote the development and implementation of more sustainable, innovative and affordable energy solutions.

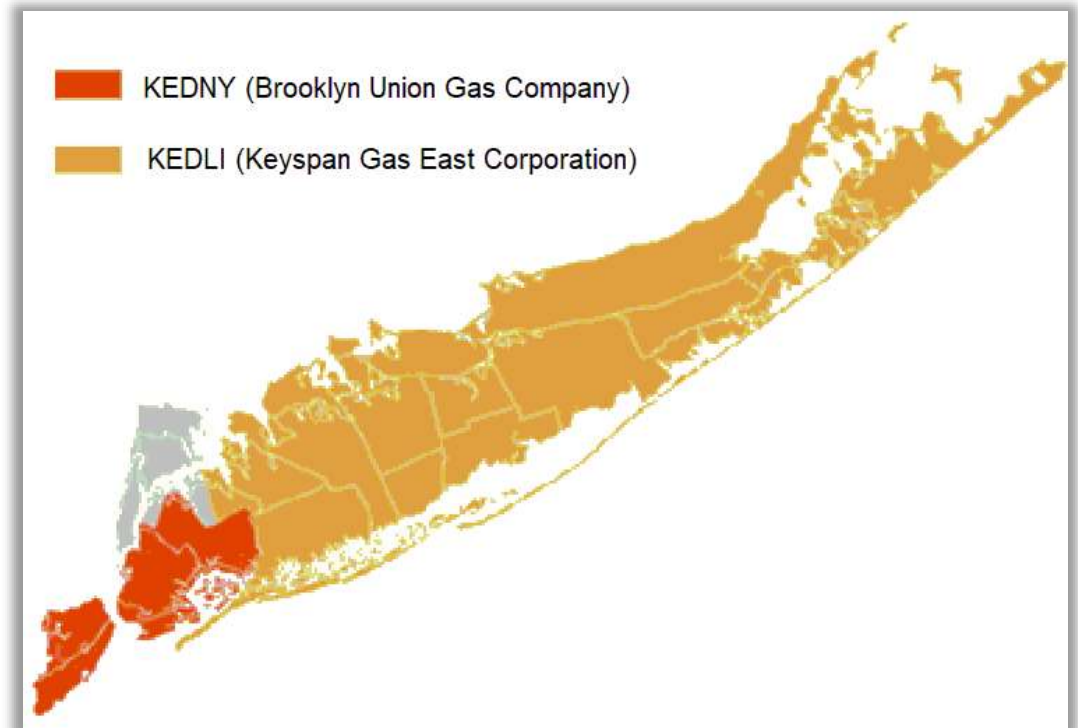
We are proud of the contributions our work and our people make towards the prosperity and wellbeing of our customers, communities and investors.

National Grid – Our Customers in Downstate New York (DNY)

KEDNY (The Brooklyn Union Gas Company)

KEDLI (KeySpan Gas East Corporation)

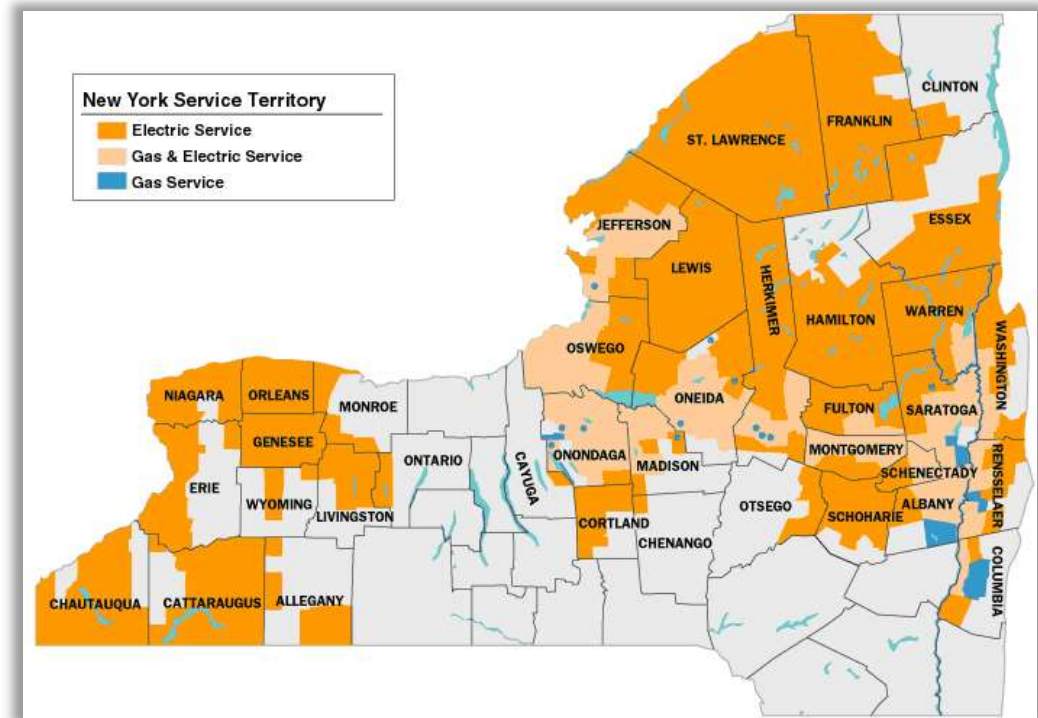
- **1.9 million natural gas customers**
 - 1.3 million New York City
 - 611,000 Long Island
- **4,600 employees**
- **12,400 miles of gas distribution and transmission pipe**
 - 4,100 miles New York City
 - 8,300 miles Long Island



National Grid – Our Customers in Upstate New York (UNY)

NMPC (Niagara Mohawk Power Corporation)

- **2.2 million customers**
 - 1.6 million electricity
 - 600,000 gas
- **4,000 employees**
- Service in over 450 cities and towns across 24,000 square miles



02

Our Values

Do the right thing
Find a better way
Make it happen

nationalgrid



The Consequences of Ethical Misconduct



The Cost of Unethical Business Practices

A company's most valuable asset is its name and reputation.

It takes years to build an ethical reputation and it can be lost in minutes.

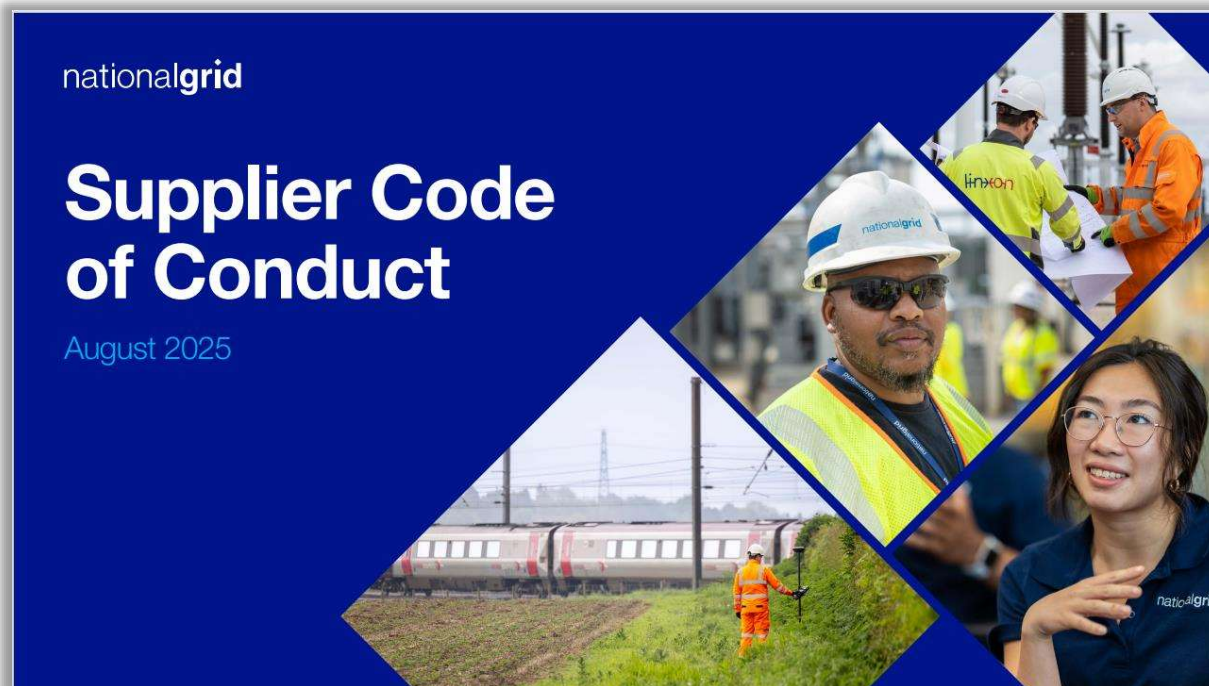
These companies will be rebuilding their ethical reputation for a long time.



Deutsche Bank



The Supplier Code of Conduct

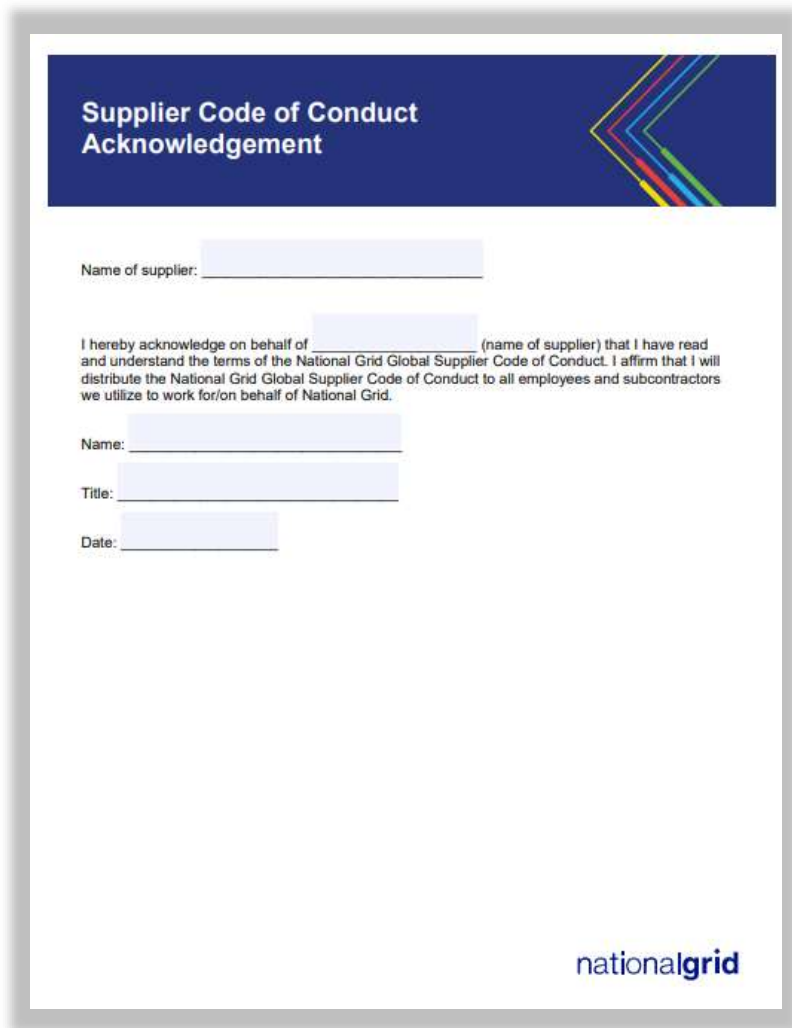


Our code of conduct sets out our expectations, values, and fundamental principles which we expect you to extend into your business and your own supply chain. We expect you and your supply chain to act in accordance with the highest ethical standards and comply with all relevant laws, regulations and licenses when working for National Grid.

The Supplier Code of Conduct covers the following areas:

- 1. People**
- 2. Customers and Communities**
- 3. Environment**
- 4. Responsible Business Fundamentals**
- 5. Compliance with the Supplier Code of Conduct**

The Supplier Code of Conduct Acknowledgement Form



The form is titled "Supplier Code of Conduct Acknowledgement" and features a blue header with a graphic of colorful lines. It includes a field for the supplier's name, a paragraph of acknowledgment text, and fields for the signatory's name, title, and date. The National Grid logo is in the bottom right corner.

Supplier Code of Conduct Acknowledgement

Name of supplier: _____

I hereby acknowledge on behalf of _____ (name of supplier) that I have read and understand the terms of the National Grid Global Supplier Code of Conduct. I affirm that I will distribute the National Grid Global Supplier Code of Conduct to all employees and subcontractors we utilize to work for/on behalf of National Grid.

Name: _____

Title: _____

Date: _____

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As part of the contract process suppliers, vendors and contractors must complete the Supplier Code of Conduct Acknowledgement Form after review of the Supplier Code of Conduct.

Through this acknowledgement, you are confirming that you have read and understand the Supplier Code of Conduct and that you will communicate same to all of your employees and any subcontractors that you retain on behalf of National Grid

This acknowledgement needs to be returned prior to performing any work for National Grid.

The Supplier Code of Conduct Spotlight



Entertainment, hospitality, gifts, and cash rewards

We do not allow our employees to take part in any activity that would impair their judgement when engaging in business with you.

We require you to help enforce our rules and help avoid any potential conflicts of interest by avoiding offering gifts, rewards, hospitality, or entertainment to our employees.

Employees involved in a Procurement event or contract renewal process are not allowed to offer or receive any gifts, meals, hospitality, or entertainment.

No employee is allowed to accept any gift of cash or cash value.

Compliance with the Supplier Code of Conduct

At National Grid, we strongly believe in openness and transparency and encourage our employees and those working on our behalf to raise any concerns.

If you believe that an employee, contractor, or anybody else doing business with us has acted unethically or unlawfully, you must quickly bring this to our attention using the confidential helpline available shown on the right.

Be advised that National Grid may conduct an audit or site visit to ascertain the effectiveness of any area of this Code. If we have serious concerns, whether they relate to our business or not, we will review our relationship with you.

National Grid

If you have any questions relating to the content of the Supplier Code of Conduct, you should contact your Contract Manager where relevant. If you want to raise a concern or breach, please contact National Grid's Global Assurance Team using the details below:

UK

Focus helpline: 0800 298 6231*
[National Grid EthicsPoint](#)

In-house

Business conduct helpline: 0800 328 7212
Email: business.conducthelp@nationalgrid.com

US

Alert line: 1-800-465-0121*
[National Grid EthicsPoint](#)

In-house

Toll-free helpline: 1-888-867-6759
Email: businessconduct@nationalgrid.com

* (lines are open 24 hours a day, seven days a week)

What's expected of suppliers, vendors and contractors participating in National Grid's programs?



- If under direct contract, follow ALL the terms of the contract.
- Follow any program guidelines or requirements.
- If applicable, follow all background check requirements.
- Uphold National Grid's ethical expectations, no matter what the arrangement is with the Company.

What's the Worst That Could Happen?



- An employee of a contractor was alleged to have stolen approximately \$50,000 worth of items from a customer.
- An employee of a contractor came to the home of a customer wearing a shirt that had vulgarities printed on it.
- A contractor's firm failed to comply with National Grid's background check requirements.
- A vendor provided false energy efficiency savings projections to customers.
- A supplier charged National Grid for service and goods that were never delivered nor installed.

Recognizing Ethical Excellence



National Grid appreciates the commitment from our suppliers, vendors and contractors to doing the right thing.

Thank you for your ongoing support and commitment to doing the right thing. Keep up the good work!

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Our Contact Information

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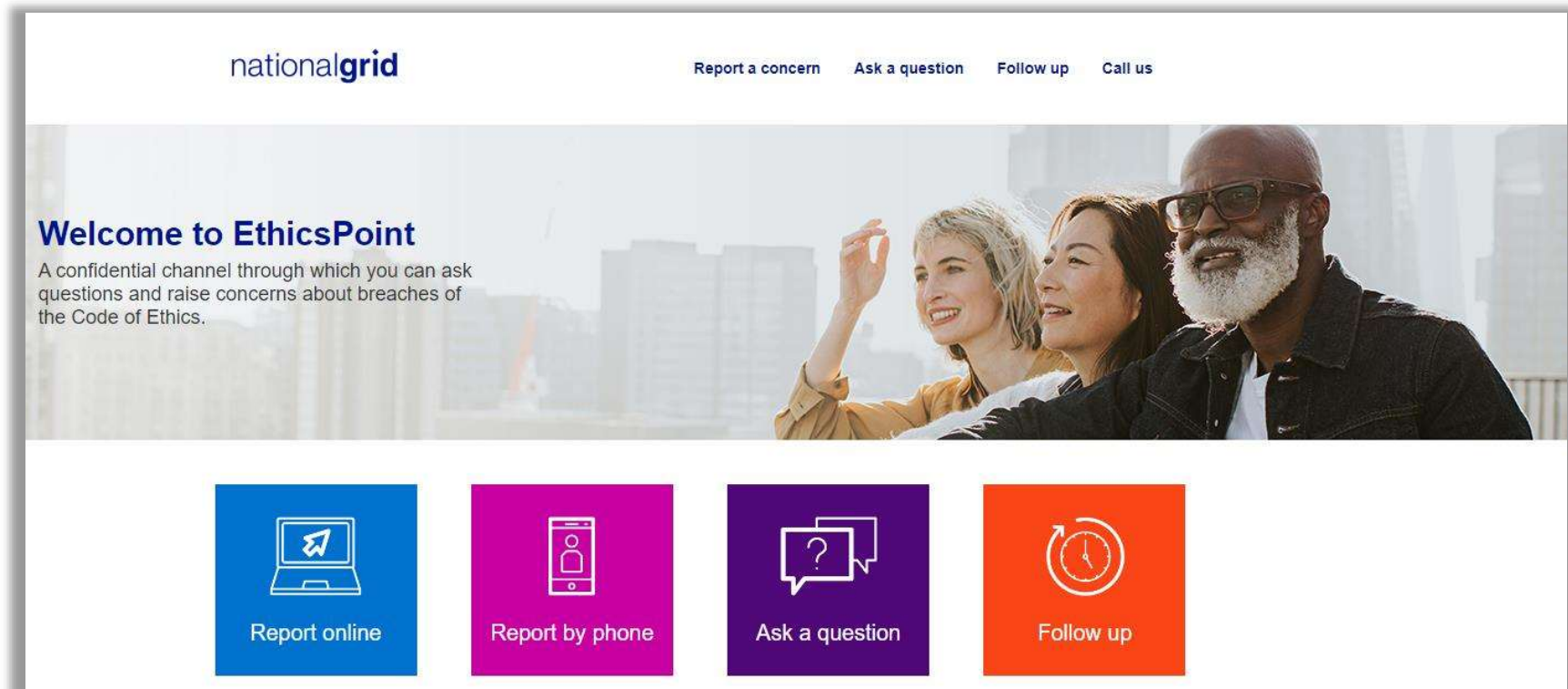


Speak up, challenge and act when something doesn't feel right

EthicsPoint is a confidential channel through which you can ask questions and raise concerns about breaches of the Code of Ethics. You may reach the EthicsPoint website through the link below or you may call the toll-free number to raise your concern. 1-800-465-0121

EthicsPoint - National Grid

<https://secure.ethicspoint.com/domain/media/en/gui/80080/index.html>



Thank You

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